Incidental Paper

Seminar on Command, Control, Communications and Intelligence

Guest Presentations — Spring 1980:

William E. Colby B. R. Inman William Odom

William Odom
Lionel Olmer

Lee Paschall Robert Rosenberg Raymond Tate A. K. Wolgast

Program on Information Resources Policy

Harvard University

Center for Information Policy Research

Cambridge, Massachusetts

An incidental paper of the Program on Information Resources Policy.

SEMINAR ON COMMAND, CONTROL, COMMUNICATIONS AND INTELLIGENCE

Guest Presentations — Spring 1980: William E. Colby, B. R. Inman, William Odom, Lionel Olmer, Lee Paschall, Robert Rosenberg, Raymond Tate, and A. K. Wolgast. December 1980 I-80-6

The Program on Information Resources Policy is jointly sponsored by Harvard University and the Center for Information Policy Research.

Chairman: Anthony G. Oettinger Director: John C. LeGates

Executive Director, Postal and Allied Arenas: John F. McLaughlin Executive Director, Media and Allied Arenas: Benjamin M. Compaine Executive Director, International and Allied Arenas: Oswald H. Ganley

Incidental papers have not undergone the reviewing process the Program requires for formal publication. Nonetheless the Program considers them to merit distribution.

Copyright © 1980 by the President and Fellows of Harvard College. Not to be reproduced in any form without written consent from the Program on Information Resources Policy, Harvard University, 200 Aiken, Cambridge, MA 02138. (617) 495-4114. Printed in the United States of America.

PROGRAM ON INFORMATION RESOURCES POLICY

Harvard University

Center for Information Policy Research

Contributors

Action for Children's Television

American District Telegraph Co.

American Management Systems, Inc.

American Telephone & Telegraph Co.

Arthur D. Little, Inc.

Auerbach Publishers Inc.

Automated Marketing Systems

BellSouth Corporation

Bell Atlantic

Booz-Allen Hamilton

Canada Post

Cellular One

Commission on European Communities (Belgium)

Communications Workers of America

Computer & Communications Industry Assoc.

COMSAT

Continental Cablevision, Inc.

Copley Newspapers

Cowles Media Co.

Dialog Information Services, Inc.

Digital Equipment Corp.

Direction Generale

des Telecommunications (France)

Doubleday, Inc.

Dow Jones & Co., Inc.

Dun & Bradstreet

Economics and Technology, Inc.

EIC/Intelligence Inc.

LM Ericsson (Sweden)

Federal Reserve Bank of Boston

France Telecom (France)

Gannett Co., Inc.

General Motors Corp.

General Telephone & Electronics

GTE Sprint Communications Corp.

Hitachi Research Institute (Japan)

Honeywell, Inc.

Hughes Communication Services, Inc.

E.F. Hutton and Co., Inc.

IBM Corp.

Information Gatekeepers, Inc.

International Data Corp.

International Resource Development, Inc.

Invoco AB Gunnar Bergvall (Sweden)

Knowledge Industry Publications, Inc.

Kokusai Denshin Denwa Co., Ltd. (Japan)

Lee Enterprises, Inc.

John and Mary R. Markle Foundation

MCI Telecommunications. Inc.

McKinsey & Co., Inc.

Mead Data Central

MITRE Corp.

Motorola, Inc.

National Association of Letter Carriers

National Telephone Cooperative Assoc.

The New York Times Co.

NEC Corp. (Japan)

Nippon Telegraph & Telephone Public

Corp. (Japan)

Northern Telecom Ltd. (Canada)

Northrop Corp.

NYNEX

The Overseas Telecommunications

Commission (Australia)

Pacific Telesis Group

Pitney Bowes, Inc.

Public Agenda Foundation

RCA Corporation

Reader's Digest Association, Inc.

Research Institute of Telecommunications

and Economics (Japan)

Royal Bank of Canada (Canada)

Salomon Brothers

Satellite Business Systems

Scaife Family Charitable Trusts

Seiden & de Cuevas, Inc.

Southern New England Telephone

Telecom Futures, Inc.

Telecommunications Research

Action Center (TRAC)

Telecom Plus International, Inc.

Times Mirror Co.

Times Publishing Co.

TRW Inc.

United States Government:

Central Intelligence Agency

Department of Commerce:

National Oceanographic and

Atmospheric Administration

National Telecommunications and

Information Administration

Department of State

Office of Communications

Federal Communications Commission

Federal Emergency Management Agency

Internal Revenue Service

National Aeronautics and Space Admin.

National Security Agency

U.S. Army:

Office of the Assistant Chief of

Staff for Information Management

United States Information Agency

United States Postal Rate Commission

United States Postal Service

US West

United Telecommunications, Inc.

The Washington Post Co.

Wolters Samsom Group (Holland)

ACKNOWLEDGMENTS

For their willingness to travel to Cambridge to share their experience with my students at the Kennedy School of Government I am deeply grateful to William E. Colby, B. R. Inman, William Odom, Lionel Olmer, Lee Paschall, Robert Rosenberg, Raymond Tate and A. K. Wolgast.

The collaboration of The MITRE Corporation made this publication possible. Robert Everett's initial interest in the seminar and John Jacobs' continuing participation from its inception to the present provided encouragement at critical times and constant intellectual stimulation. John Jacobs and Charles Zraket arranged for editorial and production support. Robert Coltman, assisted by Anne Erickson, enthusiastically applied their talents to editing raw transcripts of the seminar into coherent prose that retains the informality and the individual style and substance of each presentation and its associated discussion. Frances Jonuskis, Dorothy Statkus and Barbara Vachon prepared the edited copy for printing. My thanks go to them for completing difficult tasks with the apparent effortlessness and the grace that mark truly professional performance.

The responsibility for any sins of omission or commission nonetheless remains entirely mine.

Anthony G. Oettinger

TABLE OF CONTENTS

	Page
C ³ I and Telecommunications at the Policy Level William Odom	1
Worldwide C ³ I and Telecommunications **Raymond Tate	25
The Influence of Policy Making on C ³ I Robert Rosenberg	49
C ³ I and the National Military Command System Lee Paschall	67
Oil Crisis Management A. K. Wolgast	87
The Developing Perspective of Intelligence William E. Colby	115
Managing Intelligence for Effective Use B. R. Inman	141
Watchdogging Intelligence Lionel Olmer	163

			The state of the s
			*
			•

Preface

On August 11, 1980 the New York Times reported that President Carter had issued three Presidential Directives (PDs 53, 58 and 59) calling "for the study of several approaches to coping with a nuclear attack:

- Hardening command centers and communications posts by placing them underground or protecting them with concrete.
- Dispersing communications networks and making them redundant so that messages could continue to be sent after critical equipment was knocked out.
- Improving warning and evacuation techniques".

These concrete provisions apparently reflect heightened government attention to the nation's "nervous system", namely its command, control, communications and intelligence capabilities, relative to its "muscle", i.e., its weapons and the means for emplacing and using them.

Detailed elsewhere* is the broad significance of information resources as "social nervous systems", including their role in national and international security and their relation to "muscles". The Program on Information Resources Policy has focused attention on the organizing role of information resources in government and business through a graduate course on "Command, Control, Communications and Intelligence (C³I) in Government and Business".

This course was first offered at Harvard's Kennedy School of Government in the spring of the 1979-80 academic year. It examined the changes since World War II in the conception, technologies and institutional framework of information resources and the implications of these changes for national security policy and linked domestic policies. The course and related Program research address the relationship between information resources and government policy choices or corporate strategic alternatives. They aim to fill a gap.

When not just relating war stories, most academic or professional approaches to intelligence emphasize political science or international politics but pay scanty attention to managerial, administrative or technological factors. Business schools and practitioners emphasize the techniques and the technicalities of management information systems (MISs), but they pay little attention to mutual influences between these and strategic goals. The Program's ultimate aim is to synthesize the best of both these approaches as well as to carry forward where both leave off.

In 1979-80 the students were exposed not only to faculty, but also to several current or former government officials responsible — through several administrations — for recom-

^{*}Oettinger, Anthony G., "Information Resources: Knowledge and Power in the 21st Century "Science, 209, pp 191-198, 4 July 1980.

mending or carrying out decisions of the type reportedly made by President Carter. That students were exposed to only one business representative reflects the gap between the aims of the course and their realization.

All guests made their presentations in open forum, forewarned that neither classified nor proprietary matters were appropriate in a university classroom. The presentations and discussions were taped.

The papers in this volume are lightly edited transcripts of these presentations and discussions ordered in the sequence in which they took place. The informality of oral exposition interrupted by questions or comments has been preserved. Only pauses and repetitions have been eliminated and tripping sentences have been smoothed. Substance has, as far as possible, been left unaltered.

Anthony G. Oettinger

Introduction

The presentations and discussions in this volume explore relationships among three key aspects of private or public management:

1. The strategic goals of organizations;

2. The processes that decision makers use both to learn about the "outside world" (intelligence) and also to run and monitor their own organizations (command and control);

3. The technical means for carrying out intelligence, command and control processes in support of the formulation and pursuit of strategic goals.

Although national and international security affairs provide most of the illustrations, with one example drawn from the oil industry, the generic insights offered here should prove useful in managing for the survival and success of any organization.

Command, Control, Communications and Intelligence (C³I) at the Policy Level — William Odom

Partly through his closeness to the President of the United States, partly by his own nature, General William Odom, Military Assistant to the Assistant to the President for National Security Affairs, has unusual sensitivity to the question of how muscle is related to brain in national affairs, and what happens when command, control, communications and intelligence functions cannot be taken for granted. He speaks about some of the problems he deals with, and about the structure and realities within the government that either help or hinder dealing with those problems.

Worldwide C³I and Telecommunications -- Raymond Tate

Raymond Tate, now a consultant, was formerly Deputy Assistant Secretary of the Navy and Deputy Director of the National Security Agency. He has a unique background that bridges from the environment of the White House basement to the outside world — with vertical integration from the national leadership to the "grunt" in the field. He has weathered a number of national crises, has had experience in both command situations and intelligence, and thus offers a valuable personal context on national affairs.

The Influence of Policy Making on C³I — Robert Rosenberg

General Rosenberg, now Assistant Air Force Chief of Staff for Studies and Analysis, was at the time he made his presentation Policy Assistant to the Assistant to the President for National Security Affairs. His view of the C³I elephant is colored by his responsibilities in that position, which shaded toward intelligence. His perspective is thus distinctive, in that he views the field from the vantage of policy making — how it constrains C³I, and what possibilities it opens up.

C³I and the National Military Command System

- Lee Paschall

Before retiring from the military General Paschall directed both the Defense Communication Agency and the National Communications System. That mammoth management job gives him a first-hand basis for judging how C^3 is applied in daily reality — political, operational, technical, human. Such a system is ordinarily taken for granted — or cursed — by those without background or experience. The practical experience of its chief is a unique view.

Oil Crisis Management

- A. K. Wolgast

"Pete" Wolgast has held a wide variety of positions in Exxon. As manager of Exxon International's Company's Planning and Analysis Department he speaks with some authority about the fairly elaborate, sophisticated C³I effort involved in the 1973-74 oil crisis and in the continuing critical petroleum shortage throughout the world.

The Developing Perspective of Intelligence

- William E. Colby

Intelligence clearly is an essential part of the input used by the command and control process. The Central Intelligence Agency is the United States' primary collector and analyst of intelligence on foreign activity. Bill Colby, as former CIA Director, presents the inside view on the problems involved in acquiring intelligence and delivering it in a useful manner for both strategic and tactical decision making.

Managing Intelligence for Effective Use

- B. R. Inman

B. R. Inman, the current Director of the National Security Agency, also doubles as Chief of the Central Security Service. In his discussion, however, he ranges across his background in a variety of intelligence-related positions throughout the governmental structure, and synthesizes that variety of viewpoints. He considers policy goals, constitutional and statutory structures, and comments on the ways in which institutional opportunities and budgetary restraints shape information flows and gathering and use of intelligence.

Watchdogging Intelligence

- Lionel Olmer

Though Lionel Olmer is affiliated with Motorola, he speaks here as the former Acting Executive Secretary of the now defunct President's Foreign Intelligence Advisory Board—where a variety of private-sector figures were involved in a quasi-governmental function in an interesting manner that helps illuminate how the government's brain and nervous system work. Olmer is still involved in advising the intelligence community through his position as consultant to the Intelligence Oversight Board that was created by Executive Order in the aftermath of soul-searching over maintaining the community's integrity.

GLOSSARY

ABM anti-ballistic missile

ACLU American Civil Liberties Union

ADCOM Air Defense Command ADP automatic data processing

AID Agency for International Development (Department of State)

AMTORG Soviet trade organization

AOPEC Arab Oil Producing and Exporting Companies

ARAMCO Arabian American Oil Company AUTODIN Automatic Digital Network

AUTOSEVOCOM Automatic Secure Voice Communications network

AUTOVON Automatic Voice Network

A-10 close air support Air Force fighter

Blue color associated in exercises with friendly forces

BMEWS Ballistic Missile Early Warning System

BOQ Bachelor Officers' Quarters CIA Central Intelligence Agency

CINC Commander in Chief

CNO Chief of Naval Operations
COMINT communications intelligence
COMSEC communications security
CONUS continental United States

CPX communications command and control exercise

(literally "command post exercise")

CSS Central Security Service

CYA cover your ass

C² command and control

C³I command, control, communications and intelligence

DCA Defense Communications Agency
DCI Director of Central Intelligence
DCPA Defense Civil Preparedness Agency

DCS Defense Communications System

DDI Deputy Director - Intelligence (CIA)
DDO Deputy Director - Operations (CIA)

DDS&T Deputy Director - Science and Technology (CIA)

DEFCON Defense Condition

DIA Defense Intelligence Agency

DIV division

DMZ demilitarized zone
DoD Department of Defense

DR&E Defense Research and Experimentation
DSCS a defense communications satellite

EC-121 U.S. Navy airborne warning and control aircraft

EIC Exxon International Company

ELINT electronic intelligence
EO Executive Order

EOP Executive Office of the President

EUR Europe

EW electronic warfare

E-4 airborne command post aircraft
FAA Federal Aviation Administration
FBI Federal Bureau of Investigation

FCC Federal Communications Commission

FEA Federal Energy Administration

FEMA Federal Emergency Management Agency

FLTSATCOM U.S. Navy fleet satellite communications system

F-15 advanced U.S. Air Force fighter aircraft
GAO General Accounting Office (U.S. Congress)
GRU Soviet military intelligence organization
GSA Government Services Administration

HF high frequency

HUMINT human intelligence (data collected by or from human sources)

IBM International Business Machines
ICBM intercontinental ballistic missile
IEA International Energy Agency

IEEE Institute of Electrical and Electronics Engineers, Inc.

IOB Intelligence Oversight Board

ISA Assistant Secretary of Defense (International Security Affairs) Office

of the Undersecretary for Policy

ITT International Telephone and Telegraph Corporation

I&R Bureau of Intelligence and Research (Department of State)

JCS Joint Chiefs of Staff

JRC Joint Reconnaissance Center
J2 Deputy Chief for Intelligence
J3 Deputy Chief for Operations
J5 Director for Plans and Policy

KGB Soviet government intelligence organization

LANT Atlantic

LEASESAT leased satellite communications services

MARISAT maritime satellite communications services

MCI MCI Telecommunications Corporation

MEECN Minimum Essential Emergency Communications Network

MENS Minimum Essential Needs Statement

MIL military

MIRV multiple independent reentry vehicle missile

MOU memorandum of understanding

MX movable missile system

NASA National Aeronautics and Space Administration

NATO North Atlantic Treaty Organization

NBS National Bureau of Standards
NCA National Command Authority
NCS National Communications System

NEACP National Emergency Airborne Command Post NICS NATO Integrated Communications System

NIE National Intelligence Estimate
NIO National Intelligence Office

NKP North Korean Police

NMCC National Military Command Center NORAD North American Air Defense Command

NRO National Reconnaissance Office

NSA National Security Agency

NSAM National Security Action Memorandum

NSC National Security Council

NSDM National Security Decision Memorandum NSSM National Security Study Memorandum NTIA National Telecommunications and Information Administration,

Department of Commerce

NWI Netherlands West Indies

OEP Office of Emergency Preparedness
OMB Office of Management and Budget

OPEC Organization of Petroleum Exporting Countries

OSD Office of the Secretary of Defense

OSTP Office of Science and Technology Policy
OTP Office of Telecommunications Policy

PAC Pacific

PD Presidential Directive

PFIAB President's Foreign Intelligence Advisory Board
PPBS Planning, Programming and Budgeting System

PRC Policy Review Committee

PRM Presidential Review Memorandum

PTT postal, telephone and telegraph organizations

RCA Radio Corporation of America

Red color associated in exercises with enemy forces

RSIOP Russian (Soviet) SIOP
R&D research and development
SAC Strategic Air Command

SAGE Semi-Automatic Ground Environment air defense system

SALT Strategic Arms Limitations Talks (or Treaty)

SAR Selected Acquisition Report SCC Special Coordinating Committee

SHF super high frequency SIGINT signals intelligence

SIOP Single (in some contexts Strategic) Integrated Operations Plan

SLBM sea-launched ballistic missile SOD Supply Operations Division

SPT support

SR-71 strategic reconnaissance aircraft

S2 Intelligence staff
S3 Operations staff

TACAMO airborne command post for the ballistic missile submarines

TCCP Telecommunications Command and Control Program

TOD Transportation Operations Division

TRW, Inc.

UFO unidentified flying object

UHF ultra high frequency

U.K. United Kingdom UN United Nations

USSR Union of Soviet Socialist Republics

U-2 high-altitude U.S. reconnaissance aircraft

VHF very high frequency VLF very low frequency

VOR VHF Omnidirectional Range

WWMCCS World Wide Military Command and Control System